

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10594259
Filing Date	
First Named Inventor	Karl Erik Hellstrom
Art Unit	1642
Examiner Name	
Attorney Docket Number	440075.401USPC

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	1	20030219436	A1	2003-11-27	Ledbetter et al.		

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1	Hellstrom, Karl Erik et al., "Therapeutic vaccination with tumor cells that engage CD137," <i>Journal of Molecular Medicine</i> 81(2): 71-86, February 8, 2003.	<input type="checkbox"/>
2	Melero, Ignacio et al., "Monoclonal antibodies against the 4-1BB T-cell activation molecule eradicate established tumors," <i>Nature Medicine</i> 3(6): 682 – 685, June 1997.	<input type="checkbox"/>
3	Scholler, Nathalie et al., "Cutting Edge: CD83 Regulates the Development of Cellular Immunity," <i>The Journal of Immunology</i> , 168(6): 2599-2602, 2002.	<input type="checkbox"/>
4	Scholler, Nathalie et al., "CD83 Regulates Development of Cytotoxic Lymphocytes," <i>Federation of American Societies for Experimental Biology (Meeting Abstract)</i> , 16(4): A710-A711, March 20, 2002.	<input type="checkbox"/>
5	Yang, Shilin, et al., "Melanoma cells transfected to express CD83 induce antitumor immunity that can be increased by also engaging CD137," <i>PNAS</i> 101(14):4990-4995, April 6, 2004.	<input type="checkbox"/>
6	Ye, Zhengmao et al., "Gene Therapy for cancer using single-chain Fv fragments specific for 4-1BB," <i>Nat Med.</i> 8 (4):343-8, April 2002.	<input type="checkbox"/>

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⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Stephen J. Rosenman/	Date (YYYY-MM-DD)	2007-07-24
Name/Print	Stephen J. Rosenman, Ph.D.	Registration Number	43,058

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